



leading on tax incentivised savings

## Discussion Forum

Thursday 17<sup>th</sup> June 2010



### Today's Topics

---

- FATCA – Sweeping Changes to US Rules affecting non-US Financial Services Firms  
*Robert Russo, Partner, Ernst & Young LLP, London – International Tax Services*
- RDR – TISA's Response to DP10/2  
*Malcolm Small, Director of Portfolio & Retirement Planning, TISA*
- RDR – Beyond the Regulations  
*Karen Bond, Associate Director, Navigant Consulting Inc.*
- Technical Update – incl. Child Trust Funds  
*Peter Shipp, Technical Director (Saving Schemes), TISA*



leading on tax incentivised savings

## Malcolm Small

Director of Portfolio & Retirement Planning  
TISA



## Retirement Advisory Council

---

- Development of Reformist Policy
- Auto-Enrolment And N.E.S.T.
- Restriction of Tax Relief for Higher Earners:  
A “Dog’s Breakfast”
- Responses To Consultations:  
The Pensions Regulator
- Retirement Savings Challenge Conference  
19th October 2010



## Distribution Advisory Council

- Retail Distribution Review
- Focus on Post-2012 Landscape
- Focus on Distribution to Mass Market
- Successful Spring Seminar -  
Autumn Seminar Being Planned
- Potential Project:  
Distributor Influenced / In-House Funds



## Wrap & Platform Advisory Council

- Retail Distribution Review: D.P. 10/2
- Successful Spring Seminar -  
"Corporate Wrap" Seminar  
16th September 2010
- Project: Platform to Platform Re-registration
- Potential Project: Wrap and Platform Disclosure
- Potential Project:  
P.A.I.F.s and T.E.F.s on Platforms

 **Projects Update**

---

- Re-Registration:  
Executive Committee & Project Office formed  
- now moving to implementation
- P.A.I.F.s And T.E.F.s:  
"Open Meeting" Planned
- What these projects mean for TISA  
and their significance for the future
- Only TISA can do this  
– Only TISA has "Pan Industry" Reach

 **Thank You**

---

**Malcolm Small**

Director of Portfolio &amp; Retirement Planning

*Mobile: 07989 500 771*[malcolm.small@tisa.uk.com](mailto:malcolm.small@tisa.uk.com)



leading on tax incentivised savings

## Peter Shipp

Technical Director (Saving Schemes)  
TISA



### ISA issues

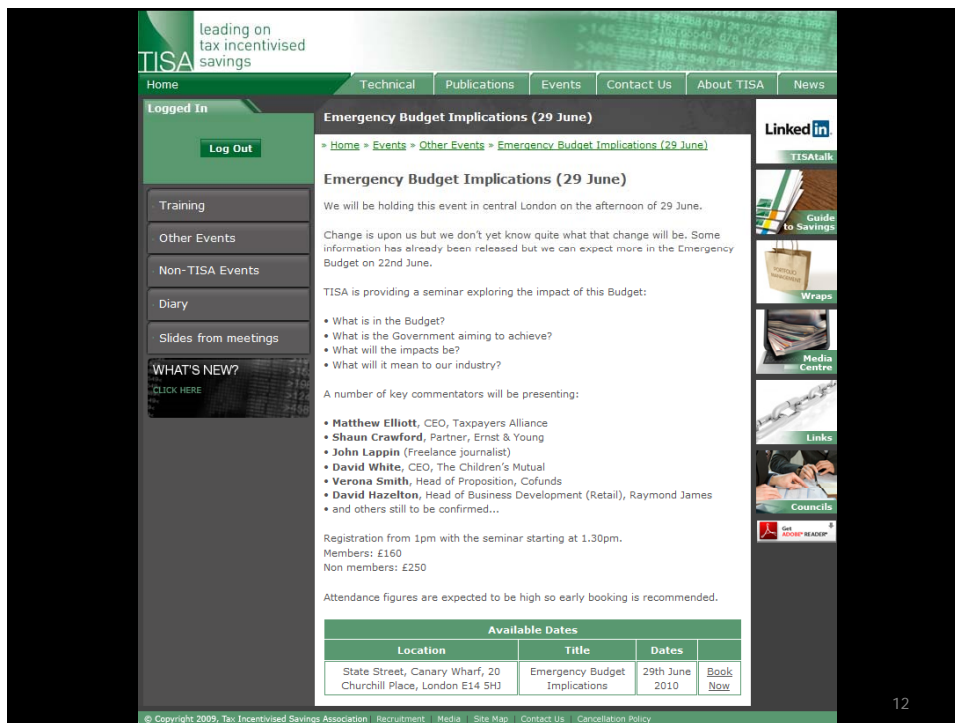
---

- ISA Statistics  
ISA25 Statistics project – the future
- Cash ISA transfers - Super Complaint
- Stocks & Shares ISA transfers
- March Budget TISA Bulletin 609  
Subscription limits linked to RPI ?  
Consultation on AIM stocks ?
- Kick-out plans TISA Bulletin 614



## CTF issues

- Coalition announcement [TISA Bulletin 615](#)
- Update Briefing [TISA Bulletin 616](#)
- Where are we now?



leading on tax incentivised savings

Home Technical Publications Events Contact Us About TISA News

Logged In [Log Out](#)

Training  
Other Events  
Non-TISA Events  
Diary  
Slides from meetings

WHAT'S NEW?  
[CLICK HERE](#)

**Emergency Budget Implications (29 June)**

» [Home](#) » [Events](#) » [Other Events](#) » [Emergency Budget Implications \(29 June\)](#)

**Emergency Budget Implications (29 June)**

We will be holding this event in central London on the afternoon of 29 June.

Change is upon us but we don't yet know quite what that change will be. Some information has already been released but we can expect more in the Emergency Budget on 22nd June.

TISA is providing a seminar exploring the impact of this Budget:

- What is in the Budget?
- What is the Government aiming to achieve?
- What will the impacts be?
- What will it mean to our industry?

A number of key commentators will be presenting:

- **Matthew Elliott**, CEO, Taxpayers Alliance
- **Shaun Crawford**, Partner, Ernst & Young
- **John Lappin** (Freelance journalist)
- **David White**, CEO, The Children's Mutual
- **Verona Smith**, Head of Proposition, Cofunds
- **David Hazelton**, Head of Business Development (Retail), Raymond James
- and others still to be confirmed...

Registration from 1pm with the seminar starting at 1.30pm.  
Members: £160  
Non members: £250

Attendance figures are expected to be high so early booking is recommended.

Available Dates			
Location	Title	Dates	
State Street, Canary Wharf, 20 Churchill Place, London E14 5HJ	Emergency Budget Implications	29th June 2010	<a href="#">Book Now</a>

© Copyright 2009, Tax Incentivised Savings Association | [Recruitment](#) | [Media](#) | [Site Map](#) | [Contact Us](#) | [Cancellation Policy](#)

12



## Discussion Forum – 17 June 2010



leading on tax incentivised savings

**Tony Vine-Lott**

Director-General  
TISA



tax incentivised savings association

Dakota House  
25 Falcon Court  
Preston Farm Business Park  
STOCKTON-ON-TEES  
TS18 3TX

01642 666999  
[enquiries@tisa.uk.com](mailto:enquiries@tisa.uk.com)