## Model Stocks and Shares to Cash ISA Transfer Authority Form

Information abou	it you	
Title:	First names:	Surname:
Date of birth:		National Insurance number: (if you have one)
Permanent home	address:	
Postcode:		
Contact phone nu	mber (including area o	code):
Information abou	ut the Stocks and Sh	ares ISA you want to transfer
Name of existing	ISA provider:	
Address of existin	g ISA provider:	
ISA Account Num	ber/Reference: □□□	
<ul> <li>proceeds, the transport stocks and sites</li> <li>Some stocks are stocked transferred deciding where the stocked transfer can be stocked to the stocked transfer can be stocked transfer can be stocked transfer to the stocked transfer can be stocked transfer to the stocked transfer transfer can be stocked to the stocked transfer trans</li></ul>	nsfer will be undertake hares ISA. For examp cks and shares ISA prod, or may place condition investments are ing ISA provider may ran go ahead.	and conditions of the Cash ISA receiving the transfer on subject to the terms and conditions applying to alle:  oducts do not allow only part of an ISA to be ions on how such a transfer is undertaken, e.g. when sold to facilitate the transfer.  need you to give them specific information before the to pay before the transfer goes ahead.
•	e what conditions apply re completing this form	y please check with your existing stocks and shares n.
Q1 Do you want to	o transfer all or part of	f your ISA? <i>tick here</i> ☐ All ☐ Part
sold. The sale	· ·	nis will result in all investments currently held being with any uninvested cash currently held in the ISA, will in its entirety.

Q2 Have you subscribed to this stocks and shares ISA in the current tax year? <i>tick here</i> ☐ Yes ☐ No
If you answered 'All' to question 1, please go directly to the <b>Transfer authority</b> section below.
If you answered 'Part' to question 1, please answer questions 3 – 5 below.
Partial Transfers
Q3 If you answered yes to Q2 do you want to transfer your current tax year ISA subscription (this would involve selling any investments made with the subscription from the current tax year)? <i>tick here</i> Yes No
Please note that the amount in your account representing current tax year subscriptions can only be transferred in whole and not in part.
Q4 If you only want to transfer your subscriptions from the current tax year, tick here
OR
If you only want to transfer all of your subscriptions from previous tax years, tick here $\Box$
OR
If you only want to transfer <u>some</u> of your subscriptions from previous tax years (as well as investments relating to current year subs if you answered yes to Q3) tick here $\square$ and provide sale instructions by answering Q5.
Q5 Stocks, Shares and / or Funds that you wish to sell
Please check your selection is permitted by your existing stocks and shares ISA provider prior to submitting the form. Please note that you may be contacted by your existing ISA provider to agree what to sell.
Please use the box below to give instructions to your current stocks and shares ISA provider with regard to what specific value or Tax Year(s) should be transferred and/or what investments should be realised to meet the transfer.

If needed, please list all investments that you hold with the above ISA provider that you wish to sell and transfer the proceeds to your new Cash ISA with [new Cash ISA provider]. Alternatively, you may provide this information by supplying a separate list, e.g. by annotating a valuation statement from your provider.

Description of Investment	Investment Indicator e.g. ISIN, Sedol, Cuip etc. (if known)	Number or Value of Stocks, Shares, Units or specify "All" to sell the entire holding		
Q5b Uninvested cash	to be transferred	1		
		cash balance in your stocks and	l shares ISA vou	
want to transfer £			a shares for type	
OR, if you want to trans	fer all of your unir	nvested cash balance, tick here		
Transfer authority				
I authorise my existing I	•	pecified above to sell investments new Cash ISA provider].	s and transfer the	
written or non-written, c	oncerning the sto	ovide [new Cash ISA provider] w cks and shares ISA and to accep es ISA being transferred.		
Where I must give notice to close or transfer part of the existing stocks and shares ISA, or the existing stocks and shares ISA contains an investment which is designed and intended to be held for full term and has yet to reach redemption, I instruct my existing ISA provider to either: (tick the appropriate box)				
1 wait for the full notice period to end, or wait until redemption (whichever is relevant) before going ahead with this transfer;				
OR				
2 depending on the terms and conditions, carry out the transfer as soon as possible − I accept any consequential loss of income, capital or charges that may be applied.   ☐				
Signed: Date:				

## Transfer acceptance (your new ISA provider fills this part in)

We [new cash ISA provider] are willing to accept this ISA transfer in line with the customer's instructions above, as long as the following conditions are met.

<ul> <li>The transfer proceeds are made up of cash deposits only.</li> <li>We must receive all the transfer proceeds no later than □□ □□□□□.</li> <li>Where the customer has shown above that they want to transfer subscriptions from the current tax year, these must not be more than £□□,□□□.□□.</li> </ul>
Residual Income
We do not accept residual income payments
<ul> <li>OR</li> <li>We must receive all residual income payments no later than □□ □□□□□. Any residual income payments after this date must be paid away to the customer.</li> <li>We do not accept residual payments if they are below £□□□.□□ These funds should be paid away to the customer.</li> </ul>
For the purposes of the transfer of the ISA wrapper under the ISA regulations, the date shown below will be the transfer date.
Date: □□ □□ □□□□ Name of new ISA provider: